

**UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION**

Securities and Exchange Commission, )  
Plaintiff, Garnishor )  
v. )  
Robin G. Charlet, Greg J. Tuthill, )  
Steven W. Sparks, and Kirk D. Porter, )  
Defendants, )  
and )  
Amerprise Financial Services, Inc. )  
and its successors or assigns, )  
Garnishee. )

3:17-CV-0139-D  
Civil Action No. 3:17-cv-00139-D

**THIRD STIPULATION AND ~~PROPOSED~~ ORDER MODIFYING THE POST-JUDGMENT CONTINUING WRIT OF GARNISHMENT PURSUANT TO 28 U.S.C. § 3205 (DE 35)**

**AND THE POST JUDGMENT-CONTINUING WRIT OF GARNISHMENT  
PURSUANT TO RULE 69 (DE 36)**

The Judgment Creditor, the Securities and Exchange Commission (“Commission”) and the Defendant, Kirk D. Porter (“Porter” and together “the Parties”) hereby enter this Stipulation to modify the Post-Judgment Continuing Writ of Garnishment Pursuant to 28 U.S.C. § 3205 (Dkt. 35) and the Post Judgment-Continuing Writ of Garnishment Pursuant to Rule 69 (Dkt. 36) (“Writs of Garnishment”) and request the Court’s approval of the Parties’ Stipulation as set forth herein. In support hereof the Parties state the following:

WHEREAS, the Court entered the Writs of Garnishment on August 18, 2017 ordering the garnishment of all funds located at Ameriprise Financial Services, Inc. (“Ameriprise”) in which Porter has an interest in partial satisfaction of the Final Judgment as to Kirk D. Porter (Dkt. 7)(“Judgment”) entered by this Court on January 17, 2017;

WHEREAS, the Court ordered Ameriprise to withhold and retain any property in its possession, custody or control in which Porter has an interest and to forward the funds to the SEC (Dkt. Nos. 35 and 36);

WHEREAS, in an effort to fully resolve the Judgment entered in the case, the Parties have agreed to modify the Writs of Garnishment as follows;

NOW, THEREFORE, IT IS ORDERED, ADJUDGED, AND DECREED that the Writs of Garnishment are modified as follows:

1. All accounts located at Ameriprise in which Porter has an interest shall remain frozen at Ameriprise per the instructions contained in the Writs of Garnishment for a period of 240 days from the filing of this Stipulation;
2. Ameriprise shall retain the accounts and shall not liquidate the accounts for a period of 240 days from the filing of this stipulation;
3. At the end of 240 days, unless the Court orders otherwise, the accounts shall be liquidated and the funds shall be paid to the SEC per the instructions contained in the Writs of Garnishment;
4. In the event that Porter pays the full amount of his Judgment within 240 days of the filing of this Stipulation, the SEC agrees to request that the Court dismiss the Writs of Garnishment filed in this case and agrees to waive its right to seek the penalty surcharge of ten percent (10%) provided in 28 U.S.C. § 3011.

SO AGREED:

Kirk Porter

Kirk D. Porter  
19432 Pompano Lane  
Apt. 103  
Huntington Beach, California 92648-6421

Nancy E. Tyler

Nancy E. Tyler  
U.S. Securities and Exchange Commission  
100 F Street NE, Mail Stop 5631  
Washington, DC 20549-0022

SO ORDERED: June 7, 2018

Sidney A. Fitzwater

Hon. Sidney A. Fitzwater  
United States District Judge